

[illegible]

## ***Systems and Methods for Secure Transaction Management and Electronic Rights Protection***

**LAW OFFICES**  
**FINNEGAN, HENDERSON,**  
**FARABOW, GARRETT**  
**& DUNNER, L. L. P.**  
**STANFORD RESEARCH PARK**  
**700 HANSEN WAY**  
**PALO ALTO, CALIF. 94304**  
**650-849-6800**

# 2/Pre A

PATENT  
Atty. Dkt. No.: 7451.0001-15  
InterTrust Ref No.: IT 5.0.1.1 (US)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

ASSISTANT COMMISSIONER FOR PATENTS  
Washington, D.C. 20231

Prior Application: 09/328,671

Filed: June 9, 1999

Of: Karl L. Ginter, et al.

For: SYSTEMS AND METHODS FOR SECURE TRANSACTION MANAGEMENT  
AND ELECTRONIC RIGHTS PROTECTION

Group Art Unit: 2767

Examiner: G. Barron, Jr.

SIR:

This is a request for filing a ☒ Continuation ☐ Divisional Application under 37 C.F.R. §1.53(d) of pending prior application Serial No. 09/328,671, filed June 9, 1999, which is a continuation of application Serial No. 08/964,333, filed November 4, 1997, which has since issued as U.S. Patent No. 5,982,891, which is a continuation of parent application Serial No. 08/388,107 filed February 13, 1995, now abandoned.

1. ☒ Enclosed is a complete copy of the prior application as originally filed including the drawings. I hereby verify that the attached papers are a true copy of prior application Serial No. 09/328,671, as originally filed on June 9, 1999.
2. ☐ Enclosed is a ~~substitute~~ specification under 37 C.F.R. §1.125.
3. ☒ Cancel Claims 2-90.
4. ☒ A Preliminary Amendment is enclosed.
5. ☒ The filing fee is calculated on the basis of the claims existing in the prior application as amended at 3 and 4 above.

LAW OFFICES  
FINNEGAN, HENDERSON,  
FARABOW, GARRETT  
& DUNNER, L.L.P.  
STANFORD RESEARCH PARK  
700 HANSEN WAY  
PALO ALTO, CALIF. 94304  
650-849-8600

For	Number Filed	Number	Extra	Rate	Basic Fee	\$ 710.00
Total Claims	23	-20=	3	x\$18.00=		\$ 54.00
Independent Claims	7	-3 =	4	x\$80.00=		\$ 320.00
Multiple Dependent Claim(s) (if applicable)				\$260.00=		
				Total		
				Reduction by 2 for filing by small entity		
				TOTAL FILING FEE		\$1,084.00

6. ☒ A check in the amount of \$1,084.00 to cover the filing fee is enclosed.
7. ☒ The Commissioner is hereby authorized to charge any fees which may be required including fees due under 37 C.F.R. §1.16 and any other fees due under 37 C.F.R. §1.17, or credit any overpayment during the pendency of this application to Deposit Account No. 06-0916.
8. ☒ Amend the specification by inserting before the first line, the sentence:

U.S. Patent No. 6,389,402 B1,

--This application is a continuation of copending application Serial No. 09/328,671, filed June 9, 1999, which is a continuation of application Serial No. 08/964,333, filed November 4, 1997, now U.S. Patent No. 5,982,891, which is a continuation of patent application Serial No. 08/388,107 filed February 13, 1995, now abandoned -- all of which are incorporated herein by reference. 08/04/2003

9. ☐ New formal drawings are enclosed.
10. ☒ The prior application is assigned of record to: InterTrust Technologies Corporation.
11. ☐ Priority of application Serial No. \_\_\_\_\_, filed on \_\_\_\_\_ in \_\_\_\_\_ (country) is claimed under 35 U.S.C. §119. A certified copy  
☐ is enclosed or ☐ is on file in the prior application.
12. ☐ A verified statement claiming small entity status  
☐ is enclosed or ☐ is on file in the prior application.
13. ☒ Applicants also enclosed a copy of the Declaration and Power of Attorney filed in the parent application.

LAW OFFICES  
FINNEGAN, HENDERSON,  
FARABOW, GARRETT  
& DUNNER, L.L.P.  
STANFORD RESEARCH PARK  
700 HANSEN WAY  
PALO ALTO, CALIF. 94304  
650-849-6600

14. ■ Please address all correspondence to FINNEGAN, HENDERSON, FARABOW, GARRETT and DUNNER, L.L.P., 1300 I Street, N.W., Washington, D.C. 20005-3315.

PETITION FOR EXTENSION. If any extension of time is necessary for the filing of this application, including any extension in the immediate parent application, Serial No. 09/328,671, filed June 9, 1999, for the purpose of maintaining copendency between the parent application and this application, and such extension has not otherwise been requested, such an extension is hereby requested, and the Commissioner is authorized to charge necessary fees for such an extension to our Deposit Account No. 06-0916. A duplicate copy of this paper is enclosed for use in charging the deposit account.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Date: October 2, 2000

By: Linda J. Thayer  
Linda J. Thayer  
Reg. No. 45,681

and of the great things that have been done in the past, and the things that are to be done in the future.

**LAW OFFICES**  
**FINNEGAN, HENDERSON,**  
**FARABOW, GARRETT**  
**& DUNNER, L. L. P.**  
**STANFORD RESEARCH PARK**  
**700 HANSEN WAY**  
**PALO ALTO, CALIF. 94304**  
**650-849-6600**